PATENT APPLICATION

Our File No.: 2003-1959.ORI

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assignee: Nippon Shokubai Co., Ltd.

S.N.: OF EVEN DATE

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Filed: OF EVEN DATE

For: PRODUCTION PROCESS FOR HYDROXYALKYL (METH) ACRYLATE

INFORMATION DISCLOSURE STATEMENT UNDER RULE 1.56

Applicant(s) herein make available to the Patent and Trademark Office a copy of Form PTO-1449 (one form is enclosed), and a copy of each cited reference is enclosed along with any translations, partial translations, or abstracts referred to below. This Information Disclosure Statement is being filed in accordance with the following provision(s):

- [X] 37 CFR 1.97(b)(1) Within three months of the filing date of the national application. No fee is required. If listed below, the following references are being submitted under this provision:
 - ♦ All of the references.
- [X] 37 CFR 1.98(a)(3)(i) A concise explanation of the relevance as it is presently understood by the individual designated in § 1.56(c) most knowledgeable about the content of the information, of each patent, publication, or other information listed that is not

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I hereby certify that this correspondence and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date <u>August 1, 2003</u> in an envelope as "Express Mail Post Office to Addressee" Mailing Number <u>EU-884-834-873-US</u> addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Robert J. Jacobsph, Reg. No. 32,419

(Information Disclosure Statement-page 1 of 3)

in the English language. If listed below, the following references are being submitted under this provision with an English abstract:

- 1. WO 02/076919
- 2. JP 59-44299
- 3. JP 59-44300
- [] 37 CFR 1.98(a)(3)(ii) A copy of the translation if a written English-language translation of a non-English-language document, or portion thereof, is within the possession, custody, or control of, or is readily available to any individual designated in § 1.56(c). If listed below, the following references are being submitted under this provision:
- [] 37 CFR 1.98(c) When the disclosures of two or more patents or publications listed in an information disclosure statement are substantively cumulative, a copy of one of the patents or publication may be submitted without copies of the other patents or publications, provided that it is stated that these other patents or publications are cumulative. If listed below, the following references are being submitted under this provision where each of the references within the following groups of references is believed to be cumulative of the other reference in said group:

brought The listed documents are to the Examiner's attention because they are known to the applicant and/or the applicant's attorney and may be considered by the Examiner to be material to examination. This listing should not be construed as a representation that a search has been made or that no No inference should be made that the better art exists. documents are in fact material merely because they Moreover, no representation is made that any referenced herein. brief descriptions of the references herein necessarily describe the most material aspects of the references. Further, by this listing, the applicant is not making any admission regarding the relative dates of the invention and listed disclosures.

The Examiner is requested to consider carefully the complete text of these documents in connection with the {15672.DOC}

examination of the above-identified application in accordance with 37 CFR 1.104(a). It is requested that the documents listed on the attached Form(s) PTO-1449 be included in the "References Cited" portion of any patent issuing from this application (M.P.E.P. 1302.12), and that the Examiner initial and return a copy of the form(s) to evidence consideration of the documents.

Respectfully submitted,

HAUGEN LAW FIRM PLLP

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	0014451011	.	201 001127	Application Number	OF EVEN DATE		
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STA	ATEMENT B	Y	APPLICANT	First Named Inventor	Hiroyuki TAKAKI		
				Art Unit			
	(use as many shee	ets as	s necessary)	Examiner Name			
Sheet	one	of	one	Attorney Docket Number	2003.1959.ORI		

			U.S. PATI	ENT DOCUMENTS		
Examiner Initials*	Cite No.1	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant	
		Number Kind Code (if known)	MM-DD-YYYY	Applicant of Cited Document	Figures Appear	
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	1	wo	02/076919	A1	10/03/2002	Nippon Shokubai Co., Ltd.		
	2	JP	59-44299	В2	10/29/1984	Mitsubishi Gas Chem Co. Inc.		
	3	JP	59-44300	B2	10/29/1984	Mitsubishi Gas Chem Co. Inc.		
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.